

**KYC Profile Form**  
(under FORM-II)  
(Filled by the Stock Broker)



**BRB SECURITIES LIMITED**

Name of the Account Holder(s).....

B.O. Account Number 

1	2	0	3	9	1	0	0							
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Customer Code Number .....

Occupation (with Joint Account Holder's, if any).....

Name of MD/CEO (in case of Company or Institution or Firm).....

Nature of Business (in case of Company or Institution or Firm).....

Describe in detailed as to whether the account holder (the individual/ institution/company) is a director/sponsor of a listed company or he/it is a politically exposed person (PEP)/Influential Person/Member of Senior Management of an International Organization.

Source of Fund:.....

Approximate amount of Daily/Monthly/Annual transactions:.....

Describe in detailed, how source of fund was verified:.....

**Details of Information/Documents of the Account Holder(s):**

Sl.	Nature of Documents	Number	Photocopy Obtained		Applicable for
			Yes	No	
1	National ID				Individual (if any)
2	Passport				Individual (if any) or NRB or NR or Foreigner
3	Visa/Residential Permit and Work Permit				NRB or NR or Foreigner
4	Birth Certificate				Individual (if any)
5	Driving License				Individual (if any)



Sl.	Nature of Documents	Number	Photocopy Obtained		Applicable for
			Yes	No	
6	Bank Account with supporting document				Individual or NRB or NR or Foreigner
7	NID/Birth Certificate/ Passport				Nominee
8	NID/Birth Certificate/ Passport				Authorized Person
9	Registration Certificate				Firm/Company/Institution
10	Date of Incorporation				Firm/Company/Institution
11	Memorandum of Association				Company/Institution
12	Articles of Association				Company/Institution
13	Trade License				Firm/Company/Institution
14	TIN				Firm/Company/Institution
15	VAT Registration				Firm/Company/Institution
16	Particular of Directors				Company / Institution

**Comments (if any):**.....

.....  
 Signature of Account  
 Opening Officer with date  
 & Seal

.....  
 Signature of Authorized  
 Officer/ MD/CEO with date  
 & Seal

When was the information related to the Account last reviewed and updated? If any, details with comments:.....

.....  
 Signature of the Officer performing review  
 and update, with date & Seal